

(S/87)  
PTO-1556

07/18/2003 BABRAHAI 00000047 502664 10619901

|            |        |    |
|------------|--------|----|
| 01 FC:1001 | 750.00 | DP |
| 02 FC:1201 | 84.00  | DP |
| 03 FC:1203 | 280.00 | DP |
| 04 EC:1202 | 36.00  | DA |
|            | 270.00 | DP |

Adjustment date: 11/20/2003 EEKUBAY1  
07/18/2003 BABRAHAI 00000047 502664 10619901  
04 FC:1202 36.00 CR 270.00 DP

11/20/2003 EEKUBAY1 00000018 10619901

01 FC:1202

270.00 DP

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

PATENT APPLICATION SERIAL NO.

Aug-06-03 11:25

From-PARSONS HSUE &amp; DE RUNTZ LLP

4156930188

T-347 P.003/003 F-565

# PARSONS HSUE & DE RUNTZ LLP

655 MONTGOMERY STREET, SUITE 1800 ♦ SAN FRANCISCO, CALIFORNIA 94111  
TELEPHONE: (415) 318-1160 ♦ FAX: (415) 693-0194

July 14, 2003

Mail Stop Patent Application  
Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Enclosed herewith for filing is a patent application, as follows:

Inventor(s): Robert J. Small and Zhefei J. Chen

Title: Compositions for Chemical-Mechanical Polishing and Method of Using Same  
 Check in the amount of \$1384.00

Return Receipt Postcard

This Transmittal Letter (in duplicate - 1 page)

30 pages Specification (not including Claims and Abstract)

4 pages Claims

1 page Abstract

17 pages Appendix 1 and 1 cover page

1 page Appendix 2 and 1 cover page

1 page Appendix 3 and 1 cover page

2 Sheets of Drawings (Figs. 1-5)

2 page(s) Declaration For Patent Application and Power of Attorney (Unsigned)

page(s) Power of Attorney By Assignee of Entire Interest

page NonPublication Request

page(s) Recordation Form Cover Sheet (in duplicate)

page(s) Assignment

page(s) Information Disclosure Statement

page(s) PTO Form 1449 citing references

Copy(ies) of Cited References submitted

Other:

Applicant(s) assert(s) entitlement to small entity status for the attached patent application

### CLAIMS AS FILED

| For                | Number<br><u>Filed</u> | Number<br><u>Extra</u> | Rate | Basic Fee |
|--------------------|------------------------|------------------------|------|-----------|
| Total Claims       | 35                     | -20                    | =    | \$ 750.00 |
| Independent Claims | 4                      | -3                     | =    | \$ 270.00 |

- Fee of \$280 for the first filing of one or more multiple dependent claims per application \$ 280.00
- Fee for Assignment Recordation \$
- Total fee for filing the patent application in the amount of \$
- The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account 502664. \$ 1384.00

EXPRESS MAIL LABEL NO:

EV 321716219 US

Respectfully submitted,

K. Alison de Runtz  
Attorney of Record  
Reg. No. 37,119

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|               |  |                 |               |
|---------------|--|-----------------|---------------|
| Applicant(s): | Small et al.   |                 |               |
| Assignee:     | EKC Technology, Inc.   |                 |               |
| Title:        | Composition for Chemical-Mechanical Polishing and Method of Using Same |                 |               |
| Serial No.:   | 10/619,901   | Filed:          | July 14, 2003 |
| Examiner:     | Unknown  | Group Art Unit: | Unknown       |
| Docket No.:   | CHEM.006US0  | Conf. No.       | Unknown       |

Certificate of Transmission Under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on 8/10/03

Signature

*Eileen Bowen*

Via Facsimile: (703) 308-5077  
Refunds Department – Attention Latrice  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Dear Latrice:

On July 14, 2003, the above-referenced patent application was filed with the U.S. Patent and Trademark Office, enclosing a check for the filing fee of \$1384.00. The number of claims calculated totaled 35 claims, 4 Independent Claims and a fee for multiple dependent claims.

The undersigned Attorney of Record's Deposit Account 502664 was charged an additional \$36.00 on July 18, 2003 for claims in excess of twenty. The claims have been double-checked for accuracy and no errors have been found in the previous calculation.

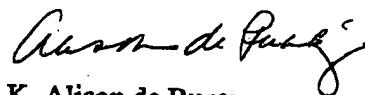
CHEM.006US0

-1-

Serial No.: 10/619,901

Therefore, the undersigned Attorney of Record requests a refund of \$36.00 in the form of a check made out to the undersigned Attorney of Record. A copy of the Application Transmittal Letter is enclosed for your review.

Respectfully submitted,



K. Alison de Runtz

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